



**GUISELEY
SCHOOL**

A THINKING SCHOOL

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Exams policy

2017/18

This policy is reviewed annually to ensure compliance with current regulations

Approved/reviewed by	
20 January 2018	
Date of next review	Nov 2018

Key staff involved in the exams policy

Role	Name(s)
Head of centre	Paul Clayton Acting Head teacher
Exams officer line manager (Senior Leader)	Steve Vasey Deputy Head teacher
Exams officer	Raymond Allen
Inclusion Manager	Garry Freeman
SLT member(s)	Paul Clayton, Stuart Gadd, Pippa Davies, Yasir Hussain

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Purpose of the policy

The centre is committed to ensuring that the exams management and administration process is run effectively and efficiently. This exam policy will ensure that:

- ▶ all aspects of the centre's exam process is documented and other relevant exams-related policies, procedures and plans are signposted
- ▶ the workforce is well informed and supported
- ▶ all centre staff involved in the exams process clearly understand their roles and responsibilities
- ▶ all exams and assessments are conducted in accordance with JCQ and awarding body regulations, guidance and instructions, thus ensuring that

"... the integrity and security of the examination/assessment system is maintained at all times and is not brought into disrepute." [JCQ General Regulations for Approved Centres [\(GR\)](#) 1]

- ▶ exam candidates understand the exams process and what is expected of them.

This policy is reviewed annually to ensure ways of working in the centre are accurately reflected and that exams and assessments are conducted to current JCQ (and awarding body) regulations, instructions and guidance.

This policy will be communicated to all relevant centre staff.

This Exams Policy is available on the Guiseley School web site

Roles and responsibilities overview

"The head of centre is responsible to the awarding bodies for making sure all examinations/assessments are conducted according to awarding body and JCQ instructions, and the qualification specifications issued by the awarding bodies.

The head of centre may not appoint themselves as the examinations officer." [\[GR 1\]](#)

Head of centre

- ▶ Understands the contents, refers to and directs relevant centre staff to annually updated JCQ publications including:
 - [General regulations for approved centres](#) (GR)
 - [Instructions for conducting examinations](#) (ICE)
 - [Access Arrangements and Reasonable Adjustments](#) (AA)
 - [Suspected Malpractice in Examinations and Assessments](#) (SMEA)
 - [Instructions for conducting non-examination assessments](#) (NEA) (and the instructions for conducting controlled assessment and coursework)
- ▶ Ensures the centre has appropriate accommodation to support the size of the cohorts being taught
- Ensures the National Centre Number Register Annual Update (administered on behalf of the JCQ member awarding bodies by OCR) is responded to by the end of October confirming they are both aware of and adhering to the latest version of the JCQ regulations and instructions for conducting examinations and approves the Head of Centre formal declaration

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- ▶ Ensures the exams officer (EO) attends appropriate training events offered by awarding bodies, MIS providers and other external providers to enable the exam process to be effectively managed and administered
- ▶ Ensures a named member of staff acts as the Special Educational Needs Co-ordinator (SENCo) – Garry Freeman Inclusion Manager.
- ▶ Ensures centre staff are supported and appropriately trained to undertake key tasks within the exams process
- ▶ Ensures centre staff undertake key tasks within the exams process and meet internal deadlines set by the EO
- ▶ Ensures *“that a teacher who teaches the subject being examined, or a senior member of teaching staff who has had overall responsibility for the candidates preparation for the examination, is not an invigilator during the timetabled written examination or on-screen test...”* [ICE 6]
- ▶ Ensures security within the examination process is managed according to JCQ and awarding body regulations, guidance and instructions including
 - ▶ the location of the centre’s secure storage unit is in an area solely assigned to examinations
 - ▶ the relevant awarding body is immediately informed if the security of question papers or confidential supporting instructions is put at risk
 - ▶ that arrangements are in place to check that the correct question paper packets are opened by authorised members of centre staff
- ▶ Ensures risks to the exam process are assessed and appropriate risk management processes/contingency plans are in place (that allows the HoC to act immediately in the event of an emergency or staff absence)

Exam contingency plan

The Exam contingency plan is available in the Examinations section of the Guiseley School website.

“It is the responsibility of the head of centre to ensure that his/her centre... has in place a written examination contingency plan which covers all aspects of examination administration. This will allow members of the senior leadership team to act immediately in the event of an emergency or staff absence. The examination contingency plan must be readily available for inspection purposes; (The examination contingency plan should also reinforce procedures in the event of the centre being unavailable for examinations owing to an unforeseen emergency.)

[GR 5]

- ▶ Ensures required internal appeals procedures are in place

Internal appeals procedures

The Internal appeals procedures is available in the Examinations section of the Guiseley School website

“The centre agrees to... have in place and be readily available for inspection purposes, a written internal appeals procedure relating to internal assessment decisions and to ensure that details of this procedure are communicated, made widely available and accessible to all candidates” [GR 5.8]

“The centre agrees to... have readily available for inspection purposes and draw to the attention of candidates and their parents/carers, a written internal appeals procedure to manage disputes when a candidate disagrees

with a centre decision not to support a clerical check, a review of marking, a review of moderation or an appeal...”

[GR 5.14]

- ▶ Ensures a disability policy for exams showing the centre’s compliance with relevant legislation is in place

Disability policy (exams)

School’s Disability policy is available from the Guiseley School website

“The head of centre/senior leadership team agrees to... recognise its duties towards disabled candidates as defined under the terms of the Equality Act 2010†. This must include a duty to explore and provide access to suitable courses, submit applications for reasonable adjustments and make reasonable adjustments to the service the centre provides to disabled candidates. A written disability policy setting out how the centre seeks to comply with the Equality Act 2010† and fully supporting disabled candidates must be available for inspection purposes. †or any legislation in a relevant jurisdiction other than England and Wales which has an equivalent purpose and effect

[GR 5.4]

- ▶ Ensures a *complaints and appeals procedure* covering general complaints regarding the centre’s delivery or administration of a qualification is in place

Complaints and appeals procedure

School’s Complaints & Appeals policy is available from the Guiseley School website.

“The centre agrees to... draw to the attention of candidates and their parents/carers their written complaints and appeals procedure which will cover general complaints regarding the centre’s delivery or administration of a qualification.”

[GR 5.7]

- ▶ Ensures the centre has a child protection/safeguarding policy in place, including Disclosure and Barring Service (DBS) clearance, which satisfies current legislative requirements

Child protection/safeguarding policy

School’s Child protection/safeguarding policy is available from the Guiseley School website which satisfies current legislative requirements and includes information relating to Disclosure and Barring Service (DBS) clearance (this centre-wide policy should include information where this relates to the exam process for example external invigilators etc.)

“It is the responsibility of the head of centre to ensure that his/her centre... has in place a written child protection/safeguarding policy, including Disclosure and Barring Service (DBS) clearance, which satisfies current legislative requirements...” - see Sect 10.1 + Appendix 7 Guiseley School Safeguarding & Child Protection Policy 2017-18

[GR 5.3]

- ▶ Ensures the centre has a data protection policy in place

Data protection policy

School’s Data Protection policy is available from the Guiseley School website (this centre-wide policy should include information where this relates to the exam process - for examples see information contained in GR 6 and GR Appendix A; consideration may also need to be given to the centre’s policy on sharing candidates results with parents/carers etc.)

- ▶ Ensures the centre has documented processes in place relating to access arrangements and reasonable adjustments

Access arrangements policy

There is no current JCQ regulation for centres to specifically have an Access Arrangements policy in place though it might be good practice to bring all aspects of the process together in one place thereby confirming the centre complies – the school follows the code of practice set out in the JCQ Access Arrangements & Reasonable Adjustments 01/09/17-31/08/18.

"...with its obligations in respect of identifying the need for, requesting and implementing access arrangements."

[GR 5.5]

"The head of centre/senior leadership team agree to... have a written process in place to not only check the qualification(s) of their specialist assessor(s) but that the assessment process is administered correctly;"

[GR 5.4]

- ▶ Ensures staff are only entered for qualifications through the centre as a last resort where entry through another centre is not available
- ▶ Ensures the appropriate steps are taken where a candidate being entered for exams is related to a member of centre staff

Where a relative of centre staff is entered for exams at Guiseley School; will take the following steps :

"...informs the awarding bodies, before the associated entries are submitted, of any members of centre staff who are either sitting examinations and assessments or teaching and preparing members of their family for examinations and assessments. Awarding bodies should also be informed where children of exams office staff are being entered for examinations and assessments...details must be sent to irregularities-n@aqg.org.uk; including centre details, staff name and candidate name & number.

The head of centre will ensure that during the examination series the member of centre staff is treated as per any other candidate entered for that examination, does not have access to examination materials and does not receive any preferential treatment.

If a candidate is entered for an awarding body's examinations at a centre where a relative is employed, the head of centre will ensure that during the examination series the candidate's relative does not have unaccompanied access to examination materials. For example, question papers, pre-release materials and answer scripts.

If the relative in question is the centre's examinations officer, then appropriate arrangements will be made to ensure that another person is present for all of the administrative arrangements relating to the candidate's examinations. (For example, any application for special consideration must be authorised by a member of centre staff other than the candidate's relative.)"

[GR 5.3]

- ▶ Ensures members of centre staff do **not** forward e-mails and letters from awarding body or JCQ personnel without prior consent to third parties or upload such correspondence onto social media sites such as Facebook
- ▶ Ensures members of centre staff do **not** advise parents/candidates to contact awarding bodies/JCQ directly

"The examinations officer or quality assurance co-ordinator is the person appointed by a head of centre to act on behalf of the centre in matters relating to the administration of awarding body examinations and assessments."

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Exams officer

- ▶ Understands the contents of annually updated JCQ publications including:
 - [General regulations for approved centres](#)
 - [Instructions for conducting examinations](#)
 - [Suspected Malpractice in Examinations and Assessments](#)
 - [Post-results services](#) (PRS)
- ▶ Is familiar with the contents of annually updated information from awarding bodies on administrative procedures, key tasks, key dates and deadlines
- ▶ Ensures key tasks are undertaken and key dates and deadlines met
- ▶ Recruits, trains and deploys a team of internal/external invigilators; appoints lead invigilators, as required and keeps a record of the training provided to invigilators for the required period (*until the deadline for Enquiries About Results has passed, or until any appeal, malpractice or other results enquiry has been completed whichever is the later*)
- ▶ Ensures awarding bodies are notified before the associated entries are submitted, where a candidate is being taught and prepared for examinations and assessments by a relative or where a relative of exams office staff is being entered for examinations and assessments
- ▶ Ensures that all exams & assessments comply with General & Vocational Qualifications; JCQ Instructions for Conducting Examinations (ICE) 2017-18.
- ▶ Ensures that all examinations & assessments for ICT Level 1&2&3 exams & assessments comply with Instructions for Conducting OCR Functional Skills & Cambridge Progression Qualifications 2017-18.
- ▶ Ensures that all exams & assessments for BCS Level 2 (ECDL) comply with BCS Approved Centre ORM (IT User Qualifications) November 2017.

Senior leaders (SLT)

- ▶ Are familiar with the contents, refer to and direct relevant centre staff to annually updated JCQ publications including:
 - [General regulations for approved centres](#)
 - [Instructions for conducting examinations](#)
 - [Access Arrangements and Reasonable Adjustments](#)
 - [Suspected Malpractice in Examinations and Assessments](#)
 - [Instructions for conducting non-examination assessments](#) (and the instructions for conducting controlled assessment and coursework)

Special educational needs co-ordinator (SENCo/Inclusion Manager)

- ▶ Is familiar with the contents, refers to and directs relevant centre staff to annually updated JCQ publications including:
 - [Access Arrangements and Reasonable Adjustments](#)
- ▶ Leads on the access arrangements and reasonable adjustments process (referred to in this policy as 'access arrangements')
- ▶ If not the qualified access arrangements assessor, works with the person appointed, on all matters relating to assessing candidates and the administration of the assessment process

- ▶ Presents when requested by a JCQ Centre Inspector, evidence of the assessor's qualification – *reference Garry Freeman to request this from our external assessor before completing access arrangement Form 8's for June 2018 candidates.*

Head of department (HoD)

- ▶ Ensures teaching staff undertake key tasks, as detailed in this policy, within the exams process (exam cycle) and meet internal deadlines set by the EO and SENCo/Inclusion Manager.
- ▶ Ensures teaching staff keep themselves updated with awarding body teacher-specific information to confirm effective delivery of qualifications
- ▶ Ensures teaching staff attend relevant awarding body training and update events

Teaching staff

- ▶ Undertake key tasks, as detailed in this policy, within the exams process and meet internal deadlines set by the EO and SENCo/Inclusion Manager
- ▶ Keep updated with awarding body teacher-specific information to confirm effective delivery of qualifications
- ▶ Attend relevant awarding body training and update events

Invigilators

- ▶ Attend training, update, briefing and review sessions as required
- ▶ Provide information as requested on their availability to invigilate
- ▶ Sign a confidentiality and security agreement and confirm whether they have any current maladministration/malpractice sanctions applied to them

Reception staff

- ▶ Support the EO in dealing with exam-related deliveries and dispatches with due regard to the security of confidential materials

Site staff

- ▶ Support the EO in relevant matters relating to exam rooms and resources

Candidates

Where applicable in this policy, the term 'candidates' refers to candidates and/or their parents/carers.

The exam cycle

The exams management and administration process that needs to be undertaken for each **exam series** is often referred to as the **exam cycle** and relevant tasks required within this grouped into the following stages:

- ▶ planning
- ▶ entries
- ▶ pre-exams
- ▶ exam time
- ▶ results and post-results

This policy identifies roles and responsibilities of centre staff within this cycle.

Planning: roles and responsibilities

Information sharing

Head of centre

- ▶ Directs relevant centre staff to annually updated JCQ publications including [GR](#), [ICE](#), [AA](#), [SMEA](#) and [NEA](#) (and the instructions for conducting controlled assessment and coursework)

Exams officer

- ▶ Signposts relevant centre staff to JCQ publications and awarding body documentation relating to the exams process that has been updated
- ▶ Signposts relevant centre staff to JCQ information that should be provided to candidates
- ▶ As the centre administrator, approves relevant access rights for centre staff to access awarding body secure extranet sites

Information gathering

Exams officer

- ▶ Undertakes an annual information gathering exercise in preparation for each new academic year to ensure data about all qualifications being delivered is up to date and correct
- ▶ Collates all information gathered into one central point of reference
- ▶ Researches awarding body guidance to identify administrative processes, key tasks, key dates and deadlines for all relevant qualifications
- ▶ Produces an annual exams plan of key tasks and key dates to ensure all external deadlines can be effectively met; informs key centre staff of internal deadlines
- ▶ Collects information on internal exams to enable preparation for and conduct of GCE-GCSE Mock Nov-Dec-June examinations

Head of department

- ▶ Responds (or ensures teaching staff respond) to requests from the EO on information gathering
- ▶ Meets the internal deadline for the return of information
- ▶ Informs the EO of any changes to information in a timely manner minimising the risk of late or other penalty fees being incurred by an awarding body
- ▶ Notes the internal deadlines in the annual exams plan and directs teaching staff to meet these

Access arrangements

Head of centre

- ▶ Ensures there is appropriate accommodation for candidates requiring access arrangements in the centre
- ▶ Ensures a written process is in place to not only check the qualification(s) of their assessor(s) but that the assessment process is administered correctly****

- ▶ Ensures the SENCo/Inclusion Manager is fully supported in effectively implementing access arrangements and reasonable adjustments once approved

SENCo/Inclusion Manager

- ▶ Assesses candidates (or works with the appointed access arrangements assessor) to identify access arrangements requirements
- ▶ Gathers **evidence** to support the need for access arrangements for a candidate
- ▶ Liaises with teaching staff to gather evidence of **normal way of working** of an affected candidate
- ▶ Determines candidate eligibility for arrangements or adjustments that are centre-delegated
- ▶ Gathers signed **data protection notices** from candidates where required
- ▶ Completes all AAO applications using JCQ/AA/LD Form 8 profiling the candidate and authorising as Specialist Assessor where appropriate or as authorised by a qualified external assessor.
- ▶ Works with the Exams Officer to apply for **approval** through *Access arrangements online (AAO)*, where required or through the awarding body where qualifications sit outside the scope of AAO supported by the exams officer.
- ▶ Keeps relevant paperwork and evidence on file for JCQ inspection purposes
- ▶ Employs good practice in relation to the Equality Act 2010
- ▶ Liaises with the EO regarding exam time arrangements for access arrangement candidates
- ▶ Ensures staff appointed to facilitate access arrangements for candidates are appropriately trained and understand the rules of the particular arrangement(s) **and keeps a record of the training provided to facilitators for the required period*****
- ▶ Provides and annually reviews a centre policy on the **use of word processors** in exams and assessments

Word processor policy (exams)

School's Word Processor policy is available from the Guiseley School website

(the criteria the centre uses to award and allocate word processors for exams is detailed below)

- ▶ The use of a word processor for candidates is only granted if it reflects the support given to the candidate as their 'normal way of working', which is defined as support:
 - ▶ in the classroom (where appropriate); or
 - ▶ working in small groups for reading and/or writing; or
 - ▶ literacy support lessons; or
 - ▶ literacy intervention strategies; and/or
 - ▶ in internal school tests/examinations
 - ▶ mock examinations

The only exceptions to the above would be a temporary injury or impairment, or a diagnosis of a disability or manifestation of an impairment relating to an existing disability arising after the start of the course.

“It is strongly recommended that a centre has a policy on the use of word processors which it can articulate to parents/carers. Principally, that a word processor cannot simply be granted to a candidate because he/she now wants to type rather than write or can work faster on a keyboard, or because he/she uses a laptop at home. The use of a word processor must reflect the candidate’s normal way of working within the centre and be appropriate to the candidate’s needs...

A member of the centre’s senior leadership team must produce a statement for inspection purposes which details the criteria the centre uses to award and allocate word processors for examinations.” See the Guiseley School Word Processor Policy (exams) 2017-18 approved by Mr Garry Freeman Inclusion Manager

[AA 5.8]

- ▶ Ensures criteria for candidates granted **separate invigilation within the centre** is clear, meets JCQ regulations and best meets the needs of individual candidates and remaining candidates in main exam rooms

Separate invigilation within the centre

This must be the candidates normal way of working and already established within the centre

[See [AA 5.16](#) plus centre-determined criteria]

Senior Leaders, Head of department, Teaching staff

- ▶ Support the SENCo/Inclusion Manager in identifying and implementing appropriate access arrangements
- ▶ Senior leaders provide a statement for inspection purposes which details the criteria the centre uses to award and allocate word processors for examinations (see above)

Internal assessment and endorsements

Head of centre

- ▶ Ensures an **internal appeals procedure** relating to internal assessment decisions is in place for a candidate (or parent/carer) to appeal against and request a review of the centre’s marking (see Roles and responsibilities overview)
- ▶ Ensures a policy for the **management of controlled assessment** is in place for legacy GCSE qualifications still being delivered which include elements of controlled assessment; identifying staff responsibilities & potential risks.

Controlled assessment policy

The Controlled Assessment policy is available in the Examinations section of the Guiseley School website.

- ▶ Ensures a **non-examination assessment policy** is in place for new GCE and GCSE qualifications which include components of non-examination assessment (For CCEA GCSE centres this would be a controlled assessment policy)

Non-examination assessment policy

The Non-Examinations Assessment policy is available in the Examinations section of the Guiseley School website.

“The centre agrees to... have in place and be available for inspection purposes, a written policy with regard to the management of GCE and GCSE non-examination assessments; (For CCEA GCSE centres this would be a written controlled assessments policy.)” [GR 5.8]

The purpose of this policy, as defined by JCQ, is to

- *cover procedures for planning and managing non-examination assessments*
- *define staff roles and responsibilities with respect to non-examination assessments*
- *manage risks associated with non-examination assessments*

[NEA – The basic principles

- ▶ Ensures irregularities are investigated and any cases of suspected malpractice reported to the awarding body, as required

Senior leaders

- ▶ Ensure teaching staff have the necessary and appropriate knowledge, understanding, skills, and training to set tasks, conduct task taking, and to assess, mark and authenticate candidates' work (including where relevant, private candidates)
- ▶ Ensure appropriate internal moderation, standardisation and verification processes are in place

Head of department

- ▶ Ensures teaching staff delivering legacy GCSE qualifications (which contain elements of controlled assessment) follow JCQ [Instructions for conducting controlled assessments](#) and the specification provided by the awarding body
- ▶ Ensures teaching staff delivering legacy GCE unitised AS and A-level qualifications and (which include elements of coursework) Entry Level or Project qualifications follow JCQ [Instructions for conducting coursework](#) and the specification provided by the awarding body
- ▶ Ensures teaching staff delivering new GCE & GCSE specifications (which include components of non-examination assessment) follow JCQ [Instructions for conducting non-examination assessments](#) and the specification provided by the awarding body
- ▶ For other qualifications, ensures teaching staff follow appropriate instructions issued by the awarding body
- ▶ Ensures teaching staff inform candidates of their centre assessed marks as a candidate may request a review of the centre's marking before marks are submitted to the awarding body

Teaching staff

- ▶ Ensure appropriate instructions for conducting internal assessment are followed
- ▶ Ensure candidates are aware of JCQ and awarding body information for candidates on producing work that is internally assessed (controlled assessments, coursework, non-examination assessments, social media) prior to assessments taking place). *JCQ statutory*

notices posted in all student common areas, and available for reference on the student/parent/carer exams page of the Guiseley School website

- ▶ Ensure candidates are informed of their centre assessed marks as a candidate may request a review of the centre's marking before marks are submitted to the awarding body

Exams officer

- ▶ Identifies relevant key dates and administrative processes that need to be followed in relation to internal assessment
- ▶ Signposts teaching staff to relevant JCQ *information for candidates* documents that are annually updated available via the Guiseley School website & posted in student common areas and outside exam/assessment rooms around school.

Invigilation

Head of centre

- ▶ Ensures relevant support is provided to the EO in recruiting, training and deploying a team of invigilators
- ▶ Ensures, if contracting supply staff to act as invigilators or to facilitate an access arrangement, that such persons are competent and fully trained, understanding what is and what is not permissible
- ▶ Determines if additional invigilators will be deployed in timed Art exams in addition to the subject teacher. Invigilators are not deployed in Art exam at Guiseley School.

Senior Leaders

- Collect information on new recruits to identify if they have invigilated previously and if any current maladministration/malpractice sanctions are applied to them
-

Exams officer

- ▶ Recruits additional invigilators where required to effectively cover all exam periods/series' throughout the academic year
- ▶ Provides an annual training event for new invigilators and an update event for invigilators on the conduct of exams
- ▶ Ensures invigilators supervising access arrangement candidates understand their role (and the role of a facilitator who may be supporting a candidate) and the rules and regulations of the access arrangement(s)
- ▶ Collects evaluation of training to inform future events

SENCO/Inclusion Manager

- ▶ Ensures invigilators are made aware of the Equality Act 2010 and are trained in disability issues

Entries: roles and responsibilities

Estimated entries

Exams officer

- ▶ Requests estimated or early entry information, where this may be required by awarding bodies, from HoDs in a timely manner to ensure awarding body external deadlines for submission can be met

Estimated entries collection and submission procedure

Details of Estimated Entries for the two succeeding academic years are provided by the Head of department in July annually to meet the awarding body external deadlines.	Estimated Entries information provided By HoD's; for all; subjects (exam boards no longer require estimates in all subjects) and submitted to the exam Board online
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Head of department

- ▶ Provides information requested by the EO to the internal deadline
- ▶ Informs the EO immediately of any subsequent changes to information

Final entries

Exams officer

- ▶ Requests final entry information from HoDs in a timely manner to ensure awarding body external deadlines for submission can be met
- ▶ Curriculum Leaders are responsible for ensuring that entry mark sheets are completed in SIMS MIS to meet the awarding body deadlines for submission
- ▶ Informs Curriculum Leader of subsequent deadlines for making changes to final entry information without charge
- ▶ Confirms with Curriculum Leaders final entry information that has been submitted to awarding bodies
- ▶ Ensures as far as possible that entry processes minimise the risk of entries or registrations being missed reducing the potential for late or other penalty fees being charged by awarding bodies

Final entries collection and submission procedure

Entry mark sheets sent to Curriculum Leader's in SIMS Assessment Manager. Once entries made; Exams Officer return printed entry mark sheets to be checked and signed off by Curriculum Leaders.
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Head of department

- ▶ Provides information requested by the EO to the internal deadline

- ▶ Informs the EO immediately, or at the very least prior to the deadlines, of any subsequent changes to final entry information, which includes
 - ▶ changes to candidate personal details
 - ▶ amendments to existing entries
 - ▶ withdrawals of existing entries

- ▶ Curriculum Leader is responsible for ensuring that entry mark sheets are completed in SIMS MIS to meet the awarding body deadlines for submission.
- ▶ All requests by candidates and parents/carers for subject entry, change of entry level or withdrawal must be agreed by the Curriculum Leader.
- ▶ Where a candidate is withdrawn the request must be made in writing by the Curriculum Leader to the exams office
- ▶ Checks final entry submission information provided by the EO and confirms information is correct

Entry fees

The school will pay all normal exam fees on behalf of candidates where the candidate is entering the exam or assessment for the first time.

Regarding exam resits; if the student is attending lessons for the Unit examined then the school will pay all normal exam fees on behalf of the candidate.

Late entries

Exams officer

- ▶ Has clear entry procedures in place to minimise the risk of late entries
- ▶ Late entries are permitted, however late fees may apply; these are must be agreed by the Curriculum Leader.
- ▶ Charges any late or other penalty fees to departmental budgets
- Accounts for income and expenditures relating to examination & assessments

Head of department

- ▶ Minimises the risk of late entries by
 - ▶ following procedures identified by the EO in relation to making final entries on time
 - ▶ meeting internal deadlines identified by the EO for making final entries

Re-sit entries

Resit fees are the responsibility of the candidate, unless there are exceptional circumstances i.e.: pupil premium, financial hardship, schools discretion.

- ▶ Candidates must pay all Resit fees owed, by cash or cheque prior to an entry being made on their behalf. The exam board deadline for entry to the GCE-GCSE Summer exam series is 21 February
- ▶ Candidates parents/carers will receive notification of exam fees payable at least 28 days prior to the exams series; entry deadline in which fees are chargeable. *Candidates are required to settle their entry fee's prior to the entry deadline; or risk not being entered for their requested resit*
- ▶ Candidates who fail to pay their exam fees may be allowed to sit their exam at the discretion of the Head of Centre/Exams officer
- ▶ Unpaid exam fees will be pursued on a case by case basis by the Finance Office in conjunction with the exams office

Private candidates

Entries from external candidates will be accepted on a case by case basis; subject to the approval of the relevant Curriculum Leader.

An appropriate administration fee will be charged; which must be paid in full prior to the entry being made to the awarding body.

Transfer of credit

“Arrangements for GCE AS candidates transferring between specifications or awarding bodies midway through a unitised GCE A-level course (having completed and certificated a GCE AS award).

A new GCE linear AS specification cannot be transferred to a legacy GCE unitised A-level specification.”

[JCQ [GCE AS Transfer of Credit arrangements page 1](#)]

Exams officer

- ▶ Provides information to relevant centre staff/candidates on transferring credit for GCE AS qualifications
- ▶ Meets the awarding body deadline for requesting transfer of credit

Teaching staff

- ▶ Identify affected candidates to the EO

Candidate statements of entry

Exams officer

- ▶ Provides candidates with statements of entry for checking

Teaching staff

- ▶ Ensure candidates check statements of entry and return any relevant confirmation required to the EO

Candidates

- ▶ Confirm entry information is correct or notify the EO of any discrepancies

*This template is provided for members of The Exams Office **only** and must not be shared beyond use in your centre*

Hyperlinks provided in this document were correct as at October 2017

Pre-exams: roles and responsibilities

Access arrangements

SENCo/Inclusion Manager

- ▶ Ensures appropriate arrangements, adjustments and adaptations are in place to facilitate access for candidates where they are disabled within the meaning of the Equality Act (unless a temporary emergency arrangement is required at the time of an exam)
- ▶ Ensures a candidate is involved in any decisions about arrangements, adjustments and /or adaptations that may be put in place for him/her
- ▶ Ensures exam information (JCQ information for candidates information, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it
- ▶ Allocates appropriately trained centre staff to facilitate access arrangements for candidates in exams and assessments (ensuring that the facilitator appointed meets JCQ requirements and fully understands the rule of the particular access arrangement)
- ▶ Where relevant, ensures the necessary and appropriate steps are undertaken to gather an appropriate picture of need and demonstrate normal way of working for a private candidate (including distance learners and home educated candidates) and that the candidate is assessed by the centre's appointed assessor

Teaching Staff

- ▶ Proactively inform Inclusion Manager of students embarking on a course of study who may require access arrangement facilities during exams and assessments

Briefing candidates

Exams officer

- ▶ Issues individual exam timetable information to candidates
- ▶ Prior to exams issues relevant JCQ information for candidates documents
- ▶ Where relevant, issues relevant awarding body information to candidates
- ▶ Issues centre exam information to candidates including information on:
 - ▶ exam clashes
 - ▶ arriving late for an exam
 - ▶ absence or illness during exams
 - ▶ what equipment is/is not provided by the centre
 - ▶ food and drink in exam rooms
 - ▶ wrist watches in exam rooms (*see JCQ statutory notices posted*). *All students are reminded ahead of examinations to remove wrist watches and place them on their exam desk visible to the invigilator*
 - ▶ when and how results will be issued and the staff that will be available

- ▶ the post-results services and how the centre deals with requests from candidates
- ▶ when and how certificates will be issued

Access to scripts, enquiries about results and appeals procedures

The Exams Office will make details of procedures available in accordance with the current publish JCQ GCE-GCSE, Projects; Post Results Information & Guidance Booklet June - November

“The centre agrees to... have in place written procedures for how it will deal with candidates’ access to scripts, enquiries about results and appeals to the awarding bodies and to ensure that details of these procedures are made widely available and accessible to all candidates. Candidates must be made aware of the arrangements for post-results services before they sit any examinations and the accessibility of senior members of centre staff immediately after the publication of results...”

[GR 5.14]

“The centre agrees to... treat all candidates equally, including private candidates, throughout the examination process. This would also extend to post-results services and appeals.”

[GR 5.6]

Dispatch of exam scripts

Exams officer

- Identifies and confirms arrangements for the dispatch of candidate exam scripts with the DfE ‘yellow label service’ or the awarding body where qualifications sit outside the scope of the service

Estimated grades

Head of department

- ▶ Ensures teaching staff provide estimated grade information to the EO by the internal deadline (where this still may be required by the awarding body)

Exams officer

- ▶ Submits estimated grade information to awarding bodies to meet the external deadline (where this may still be required by the awarding body)
- ▶ Keeps a record to track what has been sent

Internal assessment and endorsements

Head of centre

- ▶ Ensures procedures are in place for candidates to appeal internal assessment decisions and make requests for reviews of marking

SENCo/Inclusion Manager

- ▶ Liaises with teaching staff to implement appropriate access arrangements for candidates undertaking internal assessments and practical endorsements

Teaching staff

- ▶ Support the SENCo/Inclusion Manager in implementing appropriate access arrangements for candidates undertaking internal assessments and practical endorsements
- ▶ Assess and authenticate candidates' work
- ▶ Assess endorsed components
- ▶ Ensure candidates are informed of centre assessed marks prior to marks being submitted to awarding bodies

Head of department

- ▶ Ensures teaching staff assess and authenticate candidates' work to the awarding body requirements
- ▶ Ensures teaching staff assess endorsed components according to awarding body requirements
- ▶ Ensures teaching staff provide marks for internally assessed components and grades for endorsements of qualifications to the EO to the internal deadline
- ▶ Ensures teaching staff provide required samples of work for moderation and sample recordings for monitoring to the EO to the internal deadline

Exams officer

- ▶ Submits marks, endorsement grades and samples to awarding bodies/moderators/monitors to meet the external deadline
- ▶ Keeps a record to track what has been sent
- ▶ Logs moderated samples returned to the centre
- ▶ Ensures teaching staff are aware of the requirements in terms of retention and subsequent disposal of candidates' work

Candidates

- ▶ Authenticate their work as required by the awarding body

Invigilation

Exams officer

- ▶ Provides an invigilation handbook and/or trains/updates invigilator annually. *Invigilator Training will be conducted in March 2018.*
- ▶ Deploys invigilators effectively to exam rooms throughout an exam series (including the provision of a roving invigilator to regularly enter and observe the rooms where a candidate and invigilator [acting as a practical assistant, reader or scribe] are accommodated on a 1:1 basis)
- ▶ Allocates invigilators to exam rooms (or where supervising candidates due to a timetable variation) according to the required ratios
- ▶ Liaises with the SENCo/Inclusion Manager regarding the facilitation and invigilation of access arrangement candidates

SENCo/Inclusion Manager

- ▶ Liaises with the EO regarding facilitation and invigilation of access arrangement candidates

Invigilators

- ▶ Provide information as requested on their availability to invigilate throughout an exam series

Senior Leaders

- ▶ Director of Finance & Admin is responsible for recruitment of invigilators in conjunction with the exams office.
- ▶ Director of Finance & Admin secures the necessary Disclosure & Barring Service (DBS) clearance for new invigilators.

JCQ inspection visit

Exams officer or Senior leader (RA or SVY)

- ▶ Will accompany the Inspector throughout the visit

“A senior member of staff or a member of the exams office must be available to accompany the Inspector throughout the course of his or her centre visit, including inspection of the centre’s secure storage facility.”

[ICE Introduction]

Seating and identifying candidates in exam rooms

Exams officer

- ▶ Ensures a procedure is in place to verify candidate identity including private candidates
- ▶ Ensures that external and internal exams are seated in separate rooms at all times. *Exams Officer to prepare the school timetable of external and internal examinations and comply strictly with this requirement at all times.*

Verifying candidate identity procedure

Seating plans are produced through the MIS system and individual exams cards; with the candidate photo & exam number. This is displayed on the desk for the invigilator to view. Senior Leaders & Curriculum Leaders are also available to identify candidates as required by the exams officer.

Private candidates are identified at the time of entering their exam by means of their passport and/or driving licence together with a secondary source of identification confirming their address. A record of this is kept on the private candidate file.

“The centre agrees to... have in place written procedures to verify the identity of all candidates at the time of the examination or assessment...”

[GR 5.10]

Invigilators must establish the identity of all candidates sitting examinations. This is achieved with reference to Senior Teaching staff in advance of exam sittings and reference to Individual Candidate photo card placed visibly on the exam table of each candidate.

...A private, external or transferred candidate who is not known to the school or college must show photographic documentary evidence to prove that he/she is the same person who entered/registered for the examination/assessment, e.g. passport or photographic driving licence...

....Students are not permitted to wear clothing other than that designated in the school uniform policy. This includes facial coverings of any design; it is an important part of school and exam policy to be able to identify students. Any modesty or religious considerations must be identified to the Head teacher in advance and well before the candidate's first examination.

... [[ICE 9](#)]

- ▶ Ensures invigilators are aware of the procedure
- ▶ Provides seating plans for exam rooms according to JCQ and awarding body requirements

Invigilators

- ▶ Follow the procedure for verifying candidate identity provided by the EO
- ▶ Seat candidates in exam rooms as instructed by the EO/on the seating plan

Security of exam materials

Exams officer

- ▶ Has a process in place to record confidential materials delivered to the centre and issued to authorised staff
- ▶ Has in place a recording system to track confidential materials taken from or returned to secure storage throughout the time the material is confidential
- ▶ Receives, checks and securely stores question papers and other exam materials according to JCQ and awarding body requirements

Reception staff

- ▶ Follow the process to record confidential materials delivered to the centre and issued to authorised staff – Confidential Parcels Received for Exams Office Log held by Reception and completed where Exams Office is unavailable.

Teaching staff

- ▶ Adhere to the recording system to track confidential materials taken from or returned to secure storage throughout the time the material is confidential

Timetabling and rooming

Exams officer

- ▶ Produces a master centre exam timetable for each exam series
- ▶ Identifies and resolves candidate exam clashes (only applying overnight supervision arrangements in rare and exceptional circumstances and as a last resort)
- ▶ Identifies exam rooms and specialist equipment requirements

- ▶ Allocates invigilators to exam rooms (or where supervising candidates due to a timetable variation) according to required ratios
- ▶ Liaises with site staff to ensure exam rooms are set up according to JCQ and awarding body requirements
- ▶ Liaises with the SENCo/Inclusion Manager regarding rooming of access arrangement candidates

SEnCo/Inclusion Manager

- ▶ Liaises with the EO regarding rooming of access arrangement candidates
- ▶ Liaises with other relevant centre staff to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams

Site staff

- ▶ Liaise with the EO to ensure exam rooms are set up according to JCQ and awarding body requirements

Alternative site arrangements

Exams officer

- ▶ Ensures question papers will only be taken to an alternative site where the published criteria for an alternative site arrangement has been met
- ▶ Will inform the JCQ Centre Inspection Service using the JCQ *Alternative Site* form of any alternative sites that will be used to conduct timetabled examination components of the qualifications listed in the JCQ regulations

Transferred candidate arrangements

Exams officer

- ▶ Liaises with the host or entering centre, as required
- ▶ Processes requests to the awarding body deadline
- ▶ Where relevant (for an internal candidate) informs the candidate of the arrangements that have been made for their transferred candidate arrangements

Internal exams

Exams officer

- ▶ Prepares for the conduct of internal exams under external conditions
- ▶ Provides a centre exam timetable of subjects and rooms
- ▶ Provides seating plans for exam rooms
- ▶ Requests internal exam papers from teaching staff
- ▶ Arranges invigilation

SEnCo/Inclusion Manager

- ▶ Liaises with teaching staff to make appropriate arrangements for access arrangement candidates

Teaching staff

- ▶ Provide exam papers and materials to the EO
- ▶ Support the SENCo/Inclusion Manager in making appropriate arrangements for access arrangement candidates

Exam time: roles and responsibilities

Access arrangements

Exams officer

- ▶ Provides cover sheets for access arrangement candidates' scripts where required for particular arrangements
- ▶ Has a process in place to deal with emergency access arrangements as they arise at the time of exams
 - ▶ applies for approval through AAO where required or through the awarding body where qualifications sit outside the scope of AAO

Candidate absence

Candidate absence policy

The exams office is responsible for verifying the attendance in the examination room. Absences are reported to the Attendance Officer who liaises with Senior Leaders to establish the whereabouts of the absent candidates. Candidates absent from exams are managed in accordance with the guidelines set out in JCQ ICE14.

Persistent absentees are managed proactively by the Senior Leaders in advance of timetabled examinations by working with candidates and parents/carers to limit absenteeism.

"Advice: it is good practice for a centre to have a policy for late and absent candidates. Invigilators must be made aware of this policy."

[ICE 14]

Invigilators

- ▶ Are informed of the policy/process for dealing with absent candidates through training
- ▶ Ensure that confirmed absent candidates are clearly marked as such on the attendance register and seating plan

Candidates

- ▶ Are re-charged relevant entry fees for unauthorised absence from exams

Candidate behaviour

See *Irregularities* below.

Candidate belongings

See *Unauthorised materials* below.

Candidate late arrival

Exams officer

- ▶ Ensures that candidates who arrive very late for an exam are reported to the awarding body as soon as practically possible after the exam has taken place
- ▶ Warns candidates that their work may not be accepted by the awarding body

Invigilators

- ▶ Are informed of the policy/process for dealing with late/very late arrival candidates through training
- ▶ Ensure that relevant information is recorded on the exam room incident log

Candidate late arrival policy

The exams office is responsible for verifying the attendance in the examination room. Absences are reported to the Attendance Officer who liaises with Senior Leaders to establish the whereabouts of the absent candidates. Late arrivals for exams are managed in accordance with the guidelines set out in JCQ ICE14.

Persistent late arrivals are managed proactively by the Senior Leaders in advance of timetabled examinations by working with candidates and parents/carers to limit the incidence of late arrival. Where candidates are late; Senior Leaders place emphasis on the whereabouts of the candidate and ensuring arrival in school to meet the late arrival policy set out in JCQ ICE14.

“Advice: it is good practice for a centre to have a policy for late and absent candidates.

Invigilators must be made aware of this policy...

Centres should have their own internal procedures for dealing with candidates who persistently arrive late for examinations.

The exams officer may need to liaise with a senior member of staff who has pastoral responsibilities.”

[ICE 14]

Conducting exams

Head of centre

- ▶ Ensures venues used for conducting exams meet the requirements of JCQ and awarding bodies

Exams officer

- ▶ Ensures exams are conducted according to JCQ and awarding body instructions
- ▶ Uses an *exam day checklist* to ensure each exam session is fully prepared for, unplanned events can be dealt with and associated follow-up is completed

Dispatch of exam scripts

Exams officer

- ▶ Dispatches scripts as instructed by JCQ and awarding bodies
- ▶ Keeps appropriate records to track dispatch

Exam papers and materials

Exams officer

- ▶ Organises exam question papers and associated confidential resources in date order in secure storage
- ▶ Attaches erratum notices received to relevant exam question paper packets
- ▶ Collates attendance registers and examiner details in date order
- ▶ Regularly checks mail or inbox for updates from awarding bodies
- ▶ In order to avoid potential breaches of security, ensures prior to question paper packets being opened that another member of staff or an invigilator checks the time, date and paper details
- ▶ Where allowed by the awarding body, only releases exam papers and materials to teaching departments for teaching and learning purposes after the published finishing time of the exam, or until any clash candidates have completed the exam

Exam rooms

Head of centre

- ▶ Ensures that prior to exams commencing, revision or coaching sessions for candidates will not be held in the designated exam room(s)
- ▶ Ensures only authorised centre staff are present in exam rooms
- ▶ Ensures information relating to food and drink that may be allowed in exam rooms is clearly communicated to candidates

Food and drink in exam rooms

Still water is the only drink that is allowed in the examination room (bottles must have no label). Food and sweets are not permitted in the examination room.

Reference should be made to the exams office; prior to the exam where a candidate requires something to eat during the exam. E.g. medical reasons.

“Food and drink may be allowed in the examination room at the discretion of the head of centre. However, this is on the condition that any food brought into the examination room by the candidate is free from packaging and all labels are removed from drink containers.”

[ICE 11]

Exams officer

- ▶ Ensures exam rooms are set up and conducted as required in the regulations
- ▶ Provides invigilators with appropriate resources to effectively conduct exams

- Briefs invigilators on exams to be conducted on a session by session basis (including the arrangements in place for any transferred candidates)
 - ▶ Ensures sole invigilators have an appropriate means of summoning assistance
 - ▶ Ensures invigilators understand how to deal with candidates who may need to leave the exam room temporarily
 - ▶ Provides authorised exam materials which candidates are not expected to provide themselves
 - ▶ Ensures invigilators and candidates are aware of the emergency evacuation procedure
 - ▶ Ensures invigilators are aware of arrangements in place for a candidate with a disability who may need assistance if an exam room is evacuated

Senior leaders

- ▶ Ensure a documented emergency evacuation procedure for exam rooms is in place
- ▶ Ensure arrangements are in place for a candidate with a disability who may need assistance if an exam room is evacuated

Emergency evacuation policy

The emergency evacuation policy is in the Examinations section of the Guiseley School website.

"...You must have a written centre policy for dealing with an emergency evacuation of the examination room, which will be subject to inspection by the JCQ Centre Inspection Service." [\[ICE 18\]](#)

Site staff

- ▶ Ensure exam rooms are available and set up as requested by the EO
- ▶ Ensure grounds or centre maintenance work does not disturb exam candidates in exam rooms
- ▶ Ensure fire alarm testing does not take place during exam sessions

Invigilators

- ▶ Conduct exams in every exam room as instructed in training/update events and briefing sessions

Candidates

- ▶ Are required to remain in the exam room for the full duration of the exam

Irregularities

Head of centre

- ▶ Ensures any cases of alleged, suspected or actual incidents of malpractice or maladministration before, during or after examinations/assessments (by centre staff, candidates, invigilators) are investigated and reported to the awarding body **immediately**, by completing the appropriate documentation

Managing behaviour

In the event of poor candidate behaviour during the exam or assessment. Invigilators contact the exams officer who will request the assistant of Senior Leaders to manage the behaviour issues and avoid disruption to other candidates in the exam room.

“The head of centre has the authority to remove a candidate from the examination room, but should only do so if the candidate would disrupt others by remaining in the room.” [\[ICE 17\]](#)

Senior leaders

- ▶ Ensure support is provided for the EO and invigilators when dealing with disruptive candidates in exam rooms
- ▶ Ensure that internal disciplinary procedures relating to candidate behaviour are instigated, when appropriate

Exams officer

- ▶ Provides an exam room incident log in all exam rooms for recording any incidents or irregularities
- ▶ Actions any required follow-up and reports to awarding bodies as soon as practically possible after the exam has taken place

Invigilators

- ▶ Record any incidents or irregularities on the exam room incident log (for example, late/very late arrival, candidate or centre staff suspected malpractice, candidate illness, disruption or disturbance in the exam room, emergency evacuation)

Malpractice

See *Irregularities* above.

Special consideration

Exams officer

- ▶ Processes appropriate requests for special consideration to awarding bodies
- ▶ Gathers evidence which may need to be provided by other staff in centre or candidates
- ▶ Maintains confidentiality of information gathered on candidates to comply with the Data Protection Act
- ▶ Submits requests to awarding bodies to the external deadline

Candidates

- ▶ Provide appropriate evidence to support special consideration requests, where required

Unauthorised materials

Arrangements for unauthorised materials taken into the exam room

Any unauthorised materials taken into the exam room are handed to the lead invigilator who will make the necessary record in accordance with JCQ ICE11. Such materials will be secured with Senior Leaders and/or the exams office until conclusion

“...any unauthorised items that have been taken into the examination room must be placed out of reach of the candidates (and not under their desks) before the examination starts. This would normally be at the front of the examination room or a similar arrangement that enables the invigilator to control access to the items.

...The invigilator, prior to the examination starting, must ensure that candidates have removed their wrist watches, placing them on their desks.

...A head of centre may, if he/she so wishes, prohibit candidates bringing a wrist watch into the examination room. Candidates would be required to leave their watches outside of the examination room.”

[ICE 11]

Invigilators

- ▶ Are informed of the arrangements through training

Internal exams

Exams officer

- ▶ Briefs invigilators on conducting internal exams
- ▶ Returns candidate scripts to teaching staff for marking

Invigilators

- ▶ Conduct internal exams as briefed by the EO

Results and post-results: roles and responsibilities

Internal assessment

Head of department

- ▶ Ensures teaching staff keep candidates' work, whether part of the moderation sample or not, secure and for the required period stated by JCQ and awarding bodies
- ▶ Ensures work is returned to candidates or disposed of according to the requirements

Managing results day(s)

Senior leaders

- ▶ Identify centre staff who will be involved in the main summer results day(s) and their role
- ▶ Ensures senior members of staff are accessible to candidates after the publication of results so that results may be discussed and decisions made on the submission of enquiries and ensures candidates are informed of the periods during which centre staff will be available so that they may plan accordingly

Exams officer

- ▶ Works with senior leaders to ensure procedures for managing the main summer results day(s) (a results day programme) are in place

Results day programme

The Exams Office will detail procedures to be followed in accordance with the JCQ Post Results Service Guidelines and the schools Data Protection policy

Consideration should also be given to

“Centres must make candidates aware of the arrangements for Enquiries about Results before they sit any examination(s). These arrangements also extend to private candidates.” [\[PRS 4\]](#)

“...Candidates must be made aware of the arrangements for post-results services before they sit any examinations and the accessibility of senior members of centre staff immediately after the publication of results...ensure that all candidates, including private candidates, are made aware that all post-results service requests must be made through the centre...” [\[GR 5.14\]](#)

Site staff

- ▶ Ensure the centre is open and accessible to centre staff and candidates, as required

Accessing results

Exams officer

- ▶ Informs candidates in advance of when and how results will be released to them
- ▶ Accesses results from awarding bodies under restricted release of results, where this is provided by the awarding body
- ▶ Resolves any missing or incomplete results with awarding bodies
- ▶ Issues statements of results to candidates on issue of results date
- ▶ Provides summaries of results for relevant centre staff on issue of results date

Post-results services

Head of centre

- ▶ Ensures an **internal appeals procedure** is available where candidates disagree with any centre decision not to support a clerical check, a review of marking, a review of moderation or an appeal
- ▶ Understands that in the event of an awarding body initiating an *extended review of marking*, candidates' marks and subject grades may be lowered, confirmed or raised

Exams officer

- ▶ Provides information to candidates (including private candidates) and staff on the services provided by awarding bodies and the fees charged (see also above *Briefing candidates* and *Access to scripts, enquiries about results and appeals procedures*)
- ▶ Publishes internal deadlines for requesting the services to ensure the external deadlines can be effectively met

- ▶ Provides a process to record requests for services and collect candidate informed consent (**after** the publication of results) and fees where relevant
- ▶ Submits requests to awarding bodies to meet the external deadline
- ▶ Tracks requests to conclusion and informs candidates and relevant centre staff of outcomes
- ▶ Updates centre results information, where applicable

Teaching staff

- ▶ Meet internal deadlines to request the services and gain relevant candidate informed consent
- ▶ Identify the budget to which fees should be charged

Candidates

- ▶ Meet internal deadlines to request the services
- ▶ Provide informed consent and fees, where relevant

Analysis of results

Data Manager

- ▶ Provides analysis of results to appropriate centre staff
- ▶ Provides results information to external organisations where required
- ▶ Undertakes the *secondary school and college (key stage 4/16-18) performance tables September checking exercise*

Exams Officer

- ▶ Provides analysis of results to Teaching Staff
- ▶ Provides results information to external organisations where required
- ▶ Undertakes the *secondary school and college (key stage 4/16-18) performance tables September checking exercise*

Certificates

Certificates are provided to centres by awarding bodies after results have been confirmed.

Issue of certificates procedure

Certificates are presented in person or collected and signed for
Replacement certificates are only issued where a candidate agrees to pay the costs incurred

Candidates

- ▶ May arrange for certificates to be collected on their behalf by providing the EO with written or email permission/authorisation; authorised persons must provide ID evidence on collection of certificates

Retention of certificates policy

Centre retains certificates securely where these remain uncollected and for an indefinite period..

Review: roles and responsibilities

Exams officer

- ▶ Provides SLT with an overview of the exam year, highlighting what went well and what could be developed/improved in terms of exams management and administrative processes within the stages of the exam cycle
- ▶ Collects and evaluates feedback from staff, candidates and invigilators to inform review

Senior leaders

- ▶ Work with the EO to produce a plan to action any required improvements identified in the review

Retention of records: roles and responsibilities

Exams officer

- ▶ Keeps records as required by JCQ and awarding bodies for the required period
- ▶ Keeps records as required by the centre's records management policy
- ▶ Provides an exams archiving policy that identifies information held, retention period and method of disposal

Exams archiving policy

Exam records are recorded in the schools MIS system and are archived periodically.

Appendices

Include any documentation or information here that you have made reference to in the policy that has been provided as an appendix. Number each appendix and start each one on a new page. If no appendices are provided – delete this page.

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Statutory tests and qualifications offered

- The statutory tests and qualifications offered at this centre are decided by the Head of Centre, Curriculum Leaders and the Senior Leadership Team.
- All changes to tests and qualifications offered by the centre are communicated to the exams office, prior to the commencement of the academic year
- The statutory tests and qualifications offered are, Cognitive Ability (CATS), Entry Level, Functional Skills, GCSE, Cambridge National, BCS-ECDL, Cambridge Technical Level 3 Diploma, GCE, Applied GCE's and University Entrance tests.
- The subjects offered for these qualifications in any academic year may be found in the centre's published prospectus for that year. If there has been a change of syllabus from the previous year, the exams office must be informed by 28/06/18.
- Decisions on whether a candidate should not take an individual subject or all National Curriculum Tests will be taken in consultation with the parents/carers, Subject Teachers, Head of key stage and the Curriculum Leaders.

At Key Stage 4

- All candidates will be entitled, and enabled, to achieve an entry for qualifications from an external awarding body.
- The Deputy Head Teacher to ensure that except in exceptional cases all Key Stage 4 candidates are entered, and that Curriculum Leaders are informed where there are any issues.

Exam seasons are scheduled in November, December January, February, & June

- *Controlled Assessment and Coursework Assignments are conducted throughout the academic year.*
- *External GCE, Applied GCE, GCSE exams are scheduled in November, May and June*
- *Internal (mock exams) GCE exams are scheduled in January and February*
- *Internal (mock exams) GCSE exams are scheduled in November and December*
- *External Functional Skills and Cognitive Ability (CAT's) tests are held throughout the academic year*
- *Level3 Technical Diplomas follow the academic year for enrolment.*
- *Learning programmes are of one or two year's duration.*

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Hyperlinks provided in this document were correct as at October 2017

- *The School Exams & Assessments Calendar 2017-18 available from the Guiseley School web site*
- *Internal exams are held under external exam conditions.*

Exam series conducted in the Centre is decided by the Head of Centre, Curriculum Leaders, and the Senior Leadership team.

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Cambridge Technical Level 3 Qualifications

- Learning programmes follow the academic year and enrolment is in September/October and completion in June/July the following deadlines for registration will apply.
- Applications for qualification approval must only be processed through the examinations office.
- For the purposes of application, approval and course administration the programme leader shall be the Head of Curriculum for their subject.
- The Head of Centre only shall agree to questions that relate to the requirement that the centre must have systems and procedures in place to maintain standards.
- Cambridge Technical programme registration will be via the secure website OCR online. Programme leaders should refer to the examinations office.
- Programme Leaders must notify the exams office of all learners who have withdrawn from programmes. Withdrawal can be done via the website OCR online.

Exams Officers Responsibilities

- Liaise with programme leaders to maintain information on which programmes are running and when they start and finish.
- Registers learners onto the correct programmes checking these are the specific titles and versions the learners are following.
- Checks registrations carefully to ensure that all data is correct and follows correct procedures if amendments are required.

Cambridge Technical Level 3 – the Technical Diploma qualification is new for 2017-18

Administrative arrangements for these qualifications are detailed in the Oxford Cambridge & RSA (OCR) Handbook for each subject qualification.

The centre must ensure:

- There are sufficient trained and qualified personnel to assess learners.

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Hyperlinks provided in this document were correct as at October 2017

- Ensure there are sufficient trained and qualified personnel to internally standardise the learners and assessors.
- Have systems in place to ensure all assessments are valid, reliable, authentic and sufficient, and provide quality assured training for centre personnel.
- Ensure that there is a system of internal standardisation for assessments and that this is consistent and fair.
- Ensure there is sufficient time to conduct effective assessment and internal standardisation.
- Ensure there is sufficient facilities and resources to deliver and assess these qualifications.

Qualification entries

- Candidates can be entered for Cambridge Technicals (2017) on an ongoing basis – there are no specific entry deadlines.
- Qualification entries must be made in time to meet the relevant test unit entries deadline. Entries for qualifications to be claimed must be made **at least four weeks before a planned moderation**.

Test unit entries for paper-based exams

- Entries for OCR paper-based timetabled exams must be made by the relevant test entry deadline for the series.
- Late entry fees will apply to test unit entries made after the deadline and are available until the late entry deadline.
- Late entries **will not be accepted** after the late entry deadline.

The Centre assessor is normally the tutor and they are responsible for assessing learners evidence.

Centres are responsible for identifying staff that are reliable to act as assessors.

Evidence of internal standardisation must be retained in the centre for the visiting moderator to view.

Whoever is responsible for internal standardisation must

- Ensure all assessors are assessing to the required standard.
- Ensure all assessment is fair, valid and reliable
- Arrange regular standardisation meetings.
- Ensure cross moderation of work between assessors.
- Ensure all Units have been covered across the full range of grades.
- Ensure feedback is given to assessors and documented i.e. minutes of meetings & feedback records.
- Maintain records of the outcome of cross-moderation activities.
- Advise centre assessors of any discrepancies in assessment.
- Suggest ways in which assessment may be brought into line to meet the required standard.

Assessment of Units are by centre devised assignments or tasks.

All Units are assessed by the centre and externally moderated by an OCR Visiting Moderator.

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Assessment of these qualifications will be conducted in accordance with OCR's requirements and the Ofqual Regulatory Arrangements for the Qualification Framework (Ofqual August 2008).

Ofqual Common Criteria for all Qualifications requires authentication of learner work is confirmed.

Learners must not plagiarise; must submit their own work and must sign a declaration before submitting their work to the assessor confirming the work is their own.

In advance of the centre moderation visit the assessors must read the Admin guide: Cambridge Technicals for information about preparing for the moderation visit.

It is the responsibility of the Head of Centre to report (in writing) all cases of suspected malpractice involving centre staff or candidates.

BCS Level 2 ECDL Certificate in IT Application Skills

Guiseley School is an approved centre for the delivery the BCS Level 2 ECDL Certificate in IT Qualification.

Centre Reference: B03315006020

Approved Centre procedures are set out in the Operational Requirements Manual IT User Qualifications. Version 3. September 2017.

The Exams Officer is the Centre Manager for ECDL Qualifications and appoints a minimum of two members of staff as Invigilators (RA & KLM). The Centre Manager & Invigilators must register with BCS and complete the required training.

EQUALITY REVIEW – POLICY IMPACT STATEMENT

Step 1 – Further information

Policy title	Exams Policy
Person responsible for carrying out the assessment	Raymond J Allen
New or previously approved policy?	Previously approved policy
Date of approval / last review (if known)	20/11/2016

**Step 2 –
Further**

information

1. Who is responsible for the policy that is being assessed?	Mr R J Allen Examinations Officer Mr Steve Vasey Deputy Head Teacher Mr Paul Clayton Acting Head Teacher
2. Describe the main aims, objectives and purpose of the policy	To ensure that the exams management and administration process is run effectively and efficiently.
3. Are there associated objectives of the policy? If so, please explain.	To document the exam process; and ensure other relevant policies, procedures and plans are signposted. Inform and support the schools workforce. Promote understanding of roles and responsibilities of centre staff in the exams process. To ensure all exams & assessments are conducted in accordance with Joint Council for Qualifications (JCQ) regulations, guidance & instructions.
4. Who is expected to benefit from this policy?	Exam candidates, parents/carers and all relevant staff.
5. Who was consulted on this policy?	The Joint Council for Qualifications Relevant Examination Boards: AQA; Pearson Edexcel; OCR and WJEC. The Exams Office www.theexamsoffice.org Guiseley School Senior Leaders
6. How has the policy been explained to those who would be directly or indirectly affected by it?	Key points of the Exams Policy have been notified to candidates; parents/carers & staff as through statutory JCQ Notices published on

	the school website; before exams & assessment, and notice displayed outside exam venues. A hard copy is available from the Exams Office.
7. What outcome(s) are meant to be achieved from this policy?	To ensure <i>“the integrity & security of the examination/assessment system is maintained at all times and is not brought into disrepute”</i> Centre staff and candidates understand the process and what is expected of them.
8. What factors could contribute to the outcome(s)?	An effective and efficient management and administration of examinations & assessment process by the Exams Officer & External Invigilators, supported by Senior Leadership & Teaching staff at Guiseley School.
9. What factors could detract from the outcome(s)?	Failure to read, understand and observe the processes set out in this exams policy.

Step 3 – Assess the impact on different groups of people

Equality Target Group	Positive impact	Negative impact	Neutral impact	Reasons / comments
Men	Yes			
Women	Yes			
People from black and minority ethnic communities	Yes			
Disabled people	Yes			
Gay, Lesbian and Bisexual People	Yes			
Transgender people	Yes			
Disadvantaged / Pupil Premium Students	Yes			
Older people (50+)	Yes			

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Younger people (17 – 25)	Yes			
Faith or belief groups	Yes			

Step 4 – Promoting equality

10. Please give a brief description of how this policy promotes equality.	The promotion of SMSC includes equality of rights, equality of opportunity and valuing race equality.
11. If there is no evidence that the policy promotes equality, what changes, if any, could be made to achieve this?	n/a
12. If there is a negative impact on any equality target groups, can this impact be legally and objectively justified? <i>(If no, then a full Equality Impact Assessment should be completed).</i>	n/a

Step 5 – Recommendation

13. Is a full Equality Impact Assessment required?	No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/>
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